

SECURITIES SETTLEMENT INSTRUCTION (S.I./I.S.I.) FORM
證券交收指示表格

Account Name 帳戶名稱: _____ Account No. 帳戶號碼: _____

Please tick where appropriate 請在適當位置填上“✓”號

<input type="checkbox"/> SI 交收指示	<input type="checkbox"/> ISI 投資者交收指示
<input type="checkbox"/> Deliver Securities 交付證券	<input type="checkbox"/> Receive Securities 收取證券
<input type="checkbox"/> Free of Payment (FOP) 毋須付款	<input type="checkbox"/> Delivery Versus Payment (DVP) 貨銀對付

Name of Counterparty 對手名稱: _____
 Counterparty CCASS / Investor A/C No. 對手中央結算 / 投資者戶口號碼: _____
 Contact Person & Contact Tel. No. 聯絡人及聯絡電話: _____
 Beneficiary Name 收受人名稱: _____
 Remarks 備註: _____
 Value Date 生效日期: _____

Stocks Details 股票資料:

Stock Name 股票名稱	Stock Code 股票代號	Quantity 數量	Settlement Amount 交收銀碼	For Internal Use Only
				Handling Charges 手續費

- * 1. 於交易日中午12:00前收到的交收指示，本公司會即日處理；中午12:00後收到的交收指示，將被撥入下一個交易日處理。
 Instruction received before 12:00pm, the Company will process at the same day. Those received after 12:00pm will be processed in the next trading day.
2. Services Charge: For every delivery instruction, the Company will charge HKD100 for each SI Instruction and HKD20 for each ISI.
 服務收費: 交付費用，每次 SI 指示本公司將會收取港幣 100 元，而每次 ISI 指示的收費則是港幣 20 元。

Customer Signature(s) 客戶簽署: (same as specimen signature on Account Opening Form 請用閣下於開戶表格上之簽署式樣)	For Internal Use Only				
	Settlement Department			Compliance	Responsible Officer
	Signature Verified by:	Inputted by:	Checked by:	Reviewed by:	Approved by:
Date 日期: _____					