

HK Shares and Warrants		
General Transactions*		
Basic Commission	0.25% on transaction amount via non-Electronic Trading System (Min: \$100) ; 0.15% on transaction amount via Electronic Trading System (Min: \$50) <i>(The basic commission is not applicable to the client in special arrangement with account executive, The commission is subject to the two-party negotiation.)</i>	
Stamp Duty	0.1% on transaction amount (rounded up to the nearest dollar)	
SFC Transaction Levy	0.0027% on transaction amount	
HKEx Trading Fee	0.005% on transaction amount	
CCASS Settlement Fee	0.002% per trade match (Min: \$2/ Max: \$100) (Each client transaction is matched with one or more counterparties. Hence CCAS fee is calculated per trade match)	
*Each trade will be settled in the relevant stock's trading currency designated by the HKEx. If the trade is not settled in HK\$, fees and charges will be calculated in HK\$ equivalent.		
Statement		
Copies of Account/Statement	Within a year	Over a year
	\$50 per duplicate copy	\$200 per duplicate copy
Financing Interest Rate		
Charges on Late Settlement of Share Transactions	Cash client bought transaction: Late payment is subject to interest charges on the net outstanding due amount for the overdue period (from the settlement date)	
	Prime + 6% p.a.	
Market Data		
Streaming Real-time Quotes Services	1) Basic Plans: \$288 per month for Hong Kong Plan; \$138 per month for China Plan – Individual; \$188 per month for China Plan – Corporate 2) Additional Plan: \$8 per month for Mobile App (iPhone only)	
Online Real-time Quotes Services	\$0.05 per quote (Max: \$250 per month)	
SMS	\$1 per price alert	
CCASS Depository Services		
Physical Stock Deposit	Nil	
Physical Stock Withdrawal	\$5 per board lot (Min: \$100)	
ISI / SI Receipt Instruction	Nil	
ISI Delivery Instruction	\$20 per instruction	
SI Delivery Instruction	\$100 per instruction	
Transfer Deed	\$5 for each transfer deed	
Nominee Services and Corporate Actions		
Scrip Fee	Scripless	Physical Scrip
	\$2 per board lot (Applicable to shares held under HKSCC Nominees before book-close date)	\$2.5 per certificate (Applicable to shares held under our Nominee Company before book-close date)
Cash/Scrip Dividend – Collection Fee	0.5 % of cash dividend collected (Min: \$30)	

Bonus Share – Collection Fee	\$1 per board lot (Min: \$30/ Max: \$500)
Bonus Warrant/Rights – Collection Fee	Waived
Physical Stock Registration Fee	\$2.5 per certificate + \$100 handling fee
Rights/Warrant/Covered Warrant Subscriptions Warrant Conversion	\$1 per board lot (Min: \$30)
Dividend Claim/Bonus Shares	1% on gross offer amount (Min: \$500)
Cash/Open Offer Charges	0.25% on gross offer amount (Min: \$100)
Other Services	
IPO Application (Non-financing and through our nominee)	\$100 handling charge
Fund Transfer by CHATS (Same value day)	\$150
Telegraph Transfer (TT)	\$150 + actual bank charge
Bounced Cheque Charge	\$300 per case
Stop Payment Charge	\$300 per case
Cheque Mark Good	\$250 per case
Account Balance Confirmation Certificate Letter	\$100 per certificate account
Bonds	
Custody Fee	0.05% of nominal value per annum, charged semi-annually (Min: \$150 for half-year/ Max: \$2,500 for half-year)
Interest Collection Fee	0.25% of nominal value (Min: \$30/ Max: \$200)
Cash Withdrawal	
Same-day Transfer	Free
Local Cheque Issuance	\$100 per case
Local CHATS	\$150
Capital Investment Entrant Scheme (CIES) Servicing Fee	
Management Fee	\$1,000 per calendar month

Note: Ayers Alliance reserves the right to revise, add or replace any fees, charges, special offers and other services from time to time. Changes or amendment of the information might be made at any time without prior notice.

港股及認股證		
一般交易*		
基本佣金	透過電子交易系統，成交金額之 0.15% (最低: \$50) 透過非電子交易系統，成交金額之 0.25% (最低: \$100) (由經紀提供專人服務之客戶除外，其收費標準另議。)	
政府印花稅	成交金額之 0.1% (不足\$1 亦作\$1 計算)	
證監會交易徵費	成交金額之 0.0027%	
香港交易及結算所交易費	成交金額之 0.005%	
中央結算股份交收費	每宗聯交所買賣配對宗數之 0.002% (最低\$2, 最高\$100) (按聯交所規定，客戶每宗交易成交會與一個或多個對手配對，而中央結算費會按聯交所買賣配對宗數來計算)	
*交易只會以相關股票於香港交易所之「交易貨幣」結算。若交易非以港元結算，收費將以港元等值計算。		
結單		
重發結單複本	1 年以內	超過 1 年
	\$50 (每份)	\$200 (每份)
貸款利率		
逾期交收股票買賣費用	現金客戶股票買入：逾期付款需支付淨額數的過期利息 (從結算日起計算) 優惠利率 + 6% p.a.	
市場資訊		
串流式即時報價	基本計劃：香港 (國際) 計劃—每月\$298； 中國大陸計劃(只適用於個人)—每月 \$ 138； 中國大陸計劃(只適用於公司)—每月 \$ 188； 額外計劃：手機應用程式(只適用於 iPhone)—每月\$8	
網上即時報價服務	每個報價收費\$0.05 (每月最高收費上限\$250)	
短訊提示服務	每個訊息\$1	
中央結算服務收費		
實貨存入	免費	
實貨提取	每手\$5 (最低\$100)	
ISI/SI 存入指示	免費	
ISI 提取指示	每次指示\$20	
SI 提取指示	每次指示\$100	
轉手紙釐印費	每張轉手紙\$5	
代理人服務及企業行動		
託管費	豁免	
股票過戶費	非實物股票	實物股票
	每手\$2 (適用於截止過戶日前 以香港結算代理人名義 過戶登記之股票)	每張股票\$2.5 (適用於截止過戶日前以本公司代理人公 司名義過戶登記之股票)

現金股息/以股代息 -代收股息費	代收現金股息金額之 0.5% (最低\$30)
紅股 - 代收紅股費	每手\$1 (最低\$30/最高\$500)
紅利認股證/供股權 -代收股息費	豁免
代辦過戶登記實物股票轉名手續費	每張股票\$2.5 + \$100 手續費
· 代供股權/認股證/ 備兌認股證 · 轉換股權	每手\$1 (最低\$30)
代追股息/紅股	代追金額 1% (最低\$500)
現金/公開收購	收購金額 0.25% (最低\$100)
其他費用	
認購新股 (不融資及經本公司代理人)	\$100 手續費
銀行轉帳系統付款 (同日交收)	\$150
電匯	\$150 + 實質銀行費用
退票費用	每次\$300
終止支票付款費	每次\$300
支票保付	每次\$250
帳戶結餘確認證明信	每帳戶每封\$100
債券	
託管費	債券票面值的0.05%年率, 每半年收取 (最低每半年\$150/最高每半年\$2,500)
代收利息費	債券票面值的 0.25% (最低\$30/最高\$200)
現金提款	
同日現金轉帳	免費
簽發本地支票	每次\$100
銀行轉帳系統付款	\$150
資本投資者入境計劃管理費	
管理費	每月\$1,000

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